



QUARTERLY STATEMENT

As of March 31, 2019
of the Condition and Affairs of the

NASSAU LIFE AND ANNUITY COMPANY

NAIC Group Code.....4736, 4736 (Current Period) (Prior Period)	NAIC Company Code..... 93734	Employer's ID Number..... 43-1240953
Organized under the Laws of CT	State of Domicile or Port of Entry CT	Country of Domicile US
Licensed as Business Type: Life, Accident & Health		
Incorporated/Organized..... November 2, 1981	Commenced Business..... December 28, 1981	
Statutory Home Office <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	One American Row .. Hartford .. CT .. US .. 06103	
Main Administrative Office <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	One American Row .. Hartford .. CT .. US .. 06103	860-403-5000 <i>(Area Code) (Telephone Number)</i>
Mail Address <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	P. O. Box 5056 .. Hartford .. CT .. US .. 06102-5056	
Primary Location of Books and Records <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	One American Row .. Hartford .. CT .. US .. 06103	860-403-5210 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.nsre.com	
Statutory Statement Contact <i>(Name)</i>	Donald Scott Aderhold	860-403-5210 <i>(Area Code) (Telephone Number) (Extension)</i>
<i>(E-Mail Address)</i>	Statutory_Reporting@nsre.com	860-403-5344 <i>(Fax Number)</i>

OFFICERS

Name	Title	Name	Title
1. Phillip John Gass	President, CEO	2. Kostas Cheliotis	Vice President, General Counsel, Secretary
3. Thomas Arthur Williams	Vice President, CFO, Treasurer	4. Robert Joseph Lombardi	Vice President, Chief Actuary
Thomas Martin Buckingham	Vice President, Chief Product and Service Officer	Richard Daniel McCoach	Vice President, Chief Information Officer
William Benjamin Moorcroft	Chief Compliance Officer, Anti-Money Laundering Of	Christopher Matthew Wilkos	Vice President, Chief Investment Officer

OTHER

DIRECTORS OR TRUSTEES

Kostas Cheliotis Phillip John Gass Thomas Arthur Williams

State of..... Connecticut
County of..... Hartford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Phillip John Gass	Kostas Cheliotis	Thomas Arthur Williams
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President, CEO	Vice President, General Counsel, Secretary	Vice President, CFO, Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This 3rd day of May, 2019

- a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

Jessie O. Karpf
Notary Public-Connecticut
My Commission Expires
January 31, 2020

Statement as of March 31, 2019 of the **NASSAU LIFE AND ANNUITY COMPANY**
ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	39,470,651		39,470,651	18,055,266
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....			0	
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....19,593,123), cash equivalents (\$.....0) and short-term investments (\$.....0).....	19,593,123		19,593,123	3,044,463
6. Contract loans (including \$.....0 premium notes).....	784,786		784,786	796,541
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	1,000
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	59,848,560	0	59,848,560	21,897,270
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	305,232	18,325	286,907	157,343
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	49,293		49,293	62,905
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	1,492,632		1,492,632	1,473,648
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	79		79	746,079
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....	139,387		139,387	164,219
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	124,619		124,619	124,619
18.2 Net deferred tax asset.....	1,543,642	1,543,642	0	
19. Guaranty funds receivable or on deposit.....	32,684		32,684	32,684
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	527,986		527,986	6,229,021
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	23,545	0	23,545	23,262
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	64,087,659	1,561,967	62,525,692	30,911,050
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	4,758,711		4,758,711	4,356,850
28. Total (Lines 26 and 27).....	68,846,370	1,561,967	67,284,403	35,267,900

DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Suspense Deposits.....	23,545		23,545	23,262
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	23,545	0	23,545	23,262

NASSAU LIFE AND ANNUITY COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....35,541,671 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	35,541,671	18,629,916
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....		
4. Contract claims:		
4.1 Life.....	14,405	15,695
4.2 Accident and health.....		
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....		
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....		
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....		
6.3 Coupons and similar benefits (including \$.....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6.....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	17,990	21,138
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....		
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....		
9.3 Other amounts payable on reinsurance, including \$.....934,069 assumed and \$.....0 ceded.....	934,069	1,070,202
9.4 Interest Maintenance Reserve.....	170,141	172,933
10. Commissions to agents due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....		
11. Commissions and expense allowances payable on reinsurance assumed.....		
12. General expenses due or accrued.....		
13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....		
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....		
15.2 Net deferred tax liability.....		
16. Unearned investment income.....		
17. Amounts withheld or retained by reporting entity as agent or trustee.....	1,332	17,325
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....		
19. Remittances and items not allocated.....	770,182	231,378
20. Net adjustment in assets and liabilities due to foreign exchange rates.....		
21. Liability for benefits for employees and agents if not included above.....		
22. Borrowed money \$.....0 and interest thereon \$.....0.....		
23. Dividends to stockholders declared and unpaid.....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	131,465	116,713
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....	288	569
24.04 Payable to parent, subsidiaries and affiliates.....	1,664,407	74,034
24.05 Drafts outstanding.....		
24.06 Liability for amounts held under uninsured plans.....		
24.07 Funds held under coinsurance.....		
24.08 Derivatives.....		
24.09 Payable for securities.....	4,000,000	
24.10 Payable for securities lending.....		
24.11 Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	5,146	4,886
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	43,251,096	20,354,789
27. From Separate Accounts statement.....	4,758,711	4,356,850
28. Total liabilities (Lines 26 and 27).....	48,009,807	24,711,639
29. Common capital stock.....	2,500,000	2,500,000
30. Preferred capital stock.....		
31. Aggregate write-ins for other-than-special surplus funds.....	0	0
32. Surplus notes.....		
33. Gross paid in and contributed surplus.....	28,645,374	18,645,374
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	(11,870,778)	(10,589,113)
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 29 \$.....0).....		
36.20.000 shares preferred (value included in Line 30 \$.....0).....		
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	16,774,596	8,056,261
38. Totals of Lines 29, 30 and 37.....	19,274,596	10,556,261
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	67,284,403	35,267,900

DETAILS OF WRITE-INS

2501. Escheated checks.....	5,146	4,886
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	5,146	4,886
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	16,647,452	(117,817)	5,731,257
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	286,702	158,478	665,446
4. Amortization of Interest Maintenance Reserve (IMR).....	2,811	2,880	9,618
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....			
6. Commissions and expense allowances on reinsurance ceded.....	54,750	52,636	198,011
7. Reserve adjustments on reinsurance ceded.....			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	29,454	29,475	119,179
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	3,160	4,101	17,987
9. Totals (Lines 1 to 8.3).....	17,024,329	129,753	6,741,498
10. Death benefits.....	(1,290)	(11,878)	675,782
11. Matured endowments (excluding guaranteed annual pure endowments).....			
12. Annuity benefits.....			
13. Disability benefits and benefits under accident and health contracts.....			
14. Coupons, guaranteed annual pure endowments and similar benefits.....			
15. Surrender benefits and withdrawals for life contracts.....	104,845	1,237	274,856
16. Group conversions.....			
17. Interest and adjustments on contract or deposit-type contract funds.....			3,625
18. Payments on supplementary contracts with life contingencies.....			
19. Increase in aggregate reserves for life and accident and health contracts.....	16,911,755	181,421	4,510,914
20. Totals (Lines 10 to 19).....	17,015,310	170,780	5,465,177
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	576,362	30,022	324,922
22. Commissions and expense allowances on reinsurance assumed.....			
23. General insurance expenses and fraternal expenses.....	643,316	84,038	341,976
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	104,251	73,572	170,988
25. Increase in loading on deferred and uncollected premiums.....			
26. Net transfers to or (from) Separate Accounts net of reinsurance.....	(65,641)	17,168	(72,386)
27. Aggregate write-ins for deductions.....	313	296	1,198
28. Totals (Lines 20 to 27).....	18,273,911	375,876	6,231,875
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	(1,249,582)	(246,123)	509,623
30. Dividends to policyholders and refunds to members.....			
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	(1,249,582)	(246,123)	509,623
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	(337)		212,954
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	(1,249,245)	(246,123)	296,669
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....343 (excluding taxes of \$.....5 transferred to the IMR).....	(343)	(67)	11,317
35. Net income (Line 33 plus Line 34).....	(1,249,588)	(246,190)	307,986
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year.....	10,556,261	10,240,612	10,240,612
37. Net income (Line 35).....	(1,249,588)	(246,190)	307,986
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....			
39. Change in net unrealized foreign exchange capital gain (loss).....			
40. Change in net deferred income tax.....	267,008	52,799	227,444
41. Change in nonadmitted assets.....	(284,333)	(52,799)	(228,444)
42. Change in liability for reinsurance in unauthorized and certified companies.....			
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....			
44. Change in asset valuation reserve.....	(14,752)	(5,133)	8,663
45. Change in treasury stock.....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
47. Other changes in surplus in Separate Accounts Statement.....			
48. Change in surplus notes.....			
49. Cumulative effect of changes in accounting principles.....			
50. Capital changes:			
50.1 Paid in.....			
50.2 Transferred from surplus (Stock Dividend).....			
50.3 Transferred to surplus.....			
51. Surplus adjustment:			
51.1 Paid in.....	10,000,000		
51.2 Transferred to capital (Stock Dividend).....			
51.3 Transferred from capital.....			
51.4 Change in surplus as a result of reinsurance.....			
52. Dividends to stockholders.....			
53. Aggregate write-ins for gains and losses in surplus.....	0	0	0
54. Net change in capital and surplus (Lines 37 through 53).....	8,718,335	(251,323)	315,649
55. Capital and surplus as of statement date (Lines 36 + 54).....	19,274,596	9,989,289	10,556,261
DETAILS OF WRITE-INS			
08.301. Administrative and management fees.....	3,160	4,101	11,995
08.302. Surrender charges.....			5,992
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	3,160	4,101	17,987
2701. Sales inducement expense.....	313	296	1,198
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	313	296	1,198
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	0	0	0

NASSAU LIFE AND ANNUITY COMPANY

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	16,638,932	(105,715)	(276,415)
2. Net investment income.....	139,811	111,938	647,136
3. Miscellaneous income.....	87,364	86,212	335,177
4. Total (Lines 1 through 3).....	16,866,107	92,435	705,898
5. Benefit and loss related payments.....	(529,854)	480,147	1,523,228
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	(65,641)	17,168	(72,386)
7. Commissions, expenses paid and aggregate write-ins for deductions.....	1,323,982	228,526	1,092,545
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	11		(627,724)
10. Total (Lines 5 through 9).....	728,498	725,841	1,915,663
11. Net cash from operations (Line 4 minus Line 10).....	16,137,609	(633,406)	(1,209,765)
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	591,623	2,169,363	8,389,419
12.2 Stocks.....			
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	4,001,000		
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	4,592,623	2,169,363	8,389,419
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	22,006,986	3,381,558	9,077,630
13.2 Stocks.....			
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....		100,000	1,000
13.7 Total investments acquired (Lines 13.1 to 13.6).....	22,006,986	3,481,558	9,078,630
14. Net increase or (decrease) in contract loans and premium notes.....	(11,755)	(14,004)	(6,716)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(17,402,608)	(1,298,191)	(682,495)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....	10,000,000		
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	7,813,659	(143,808)	184,945
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	17,813,659	(143,808)	184,945
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	16,548,660	(2,075,405)	(1,707,315)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,044,463	4,751,778	4,751,778
19.2 End of period (Line 18 plus Line 19.1).....	19,593,123	2,676,373	3,044,463

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....			
2. Ordinary life insurance.....	1,425,823	917,063	5,565,645
3. Ordinary individual annuities.....	16,654,161		6,071,128
4. Credit life (group and individual).....			
5. Group life insurance.....			
6. Group annuities.....			
7. A&H - group.....			
8. A&H - credit (group and individual).....			
9. A&H - other.....			
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	18,079,984	917,063	11,636,773
12. Fraternal (Fraternal Benefit Societies Only).....			
13. Subtotal (Lines 11 through 12).....	18,079,984	917,063	11,636,773
14. Deposit-type contracts.....			
15. Total (Lines 13 and 14).....	18,079,984	917,063	11,636,773

DETAILS OF WRITE-INS

1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

1. Accounting Policies

- A. The accompanying financial statements of the Nassau Life and Annuity Company (“NLA” or “the Company”) have been prepared in conformity with accounting practices prescribed or permitted by the State of Connecticut Department of Insurance (“the Department”).

For determining the Company’s solvency under the State of Connecticut’s insurance laws and regulations, the Department recognizes only statutory accounting practices prescribed or permitted by the state for determining and reporting financial condition and results of operations of the Company. The State of Connecticut has adopted the National Association of Insurance Commissioners’ (NAIC’s) Accounting Practices and Procedures manual, version effective January 1, 2001, (NAIC SAP) as a component of practices prescribed or permitted by the State of Connecticut. The Superintendent of Insurance has the authority to prescribe or permit other specific practices that deviate from prescribed practices. As of March 31, 2019, the Superintendent had not prescribed or permitted the Company to use any accounting practices that would cause the Company’s earnings or financial position to deviate materially from NAIC SAP.

	<u>State of Domicile</u>	<u>March 31, 2019</u>	<u>December 31, 2018</u>
<u>NET INCOME</u>			
(1) Nassau Life and Annuity state basis (Page 4, Line 35, Columns 1 & 3)	CT	\$ (1,249,588)	\$ 307,986
(2) State Prescribed Practices that increase/(decrease) NAIC SAP	CT	-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP	CT	-	-
(4) NAIC SAP (1-2-3=4)	CT	<u>\$ (1,249,588)</u>	<u>\$ 307,986</u>
<u>SURPLUS</u>			
(5) Nassau Life and Annuity state basis (Page 3, Line 38, Columns 1 & 2)	CT	\$ 19,274,596	\$ 10,556,261
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	CT	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	CT	-	-
(8) NAIC SAP (5-6-7=8)	CT	<u>\$ 19,274,596</u>	<u>\$ 10,556,261</u>

B. Use of Estimates in the Preparation of the Financial Statements

No change

C. Accounting Policy

1. No change
2. No change
3. No change
4. No change
5. No change
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost of fair market value. The Company applies the retrospective method of valuing loan-backed and asset backed securities.
7. No change
8. No change
9. No change
10. No change
11. No change
12. No change
13. No change

D. Going Concern

Management has evaluated the Company's ability to continue as a going concern and concluded that there is not substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Correction of Errors

No material changes

3. Business Combinations and Goodwill

No change

4. Discontinued Operations

No change

5. Investments

A. No change

B. No change

C. No change

D. Loan-Backed Securities:

1. Prepayment assumptions for loan-backed securities were obtained from industry pre-payment models or internal estimates. These assumptions are consistent with current interest rates and the economic environment.
2. The Company had no impairments based on the intent to sell or inability or lack of intent to retain the investment for a period of time sufficient to recover the amortized cost basis.
3. The Company had no other-than-temporary impairments for loan-backed securities held as of March 31, 2019.
4. The following table represents all impaired securities for which an OTTI has not been recognized in earnings as a realized loss, including securities with a recognized other than temporary impairment for non-interest related declines when a non-recognized interest related impairment remains, segregated by those securities that have been in a continuous unrealized loss position for less than 12 months, and greater than 12 months.

a. The aggregate amount of unrealized losses

1. Less than 12 months	\$	13,736
2. 12 months or longer	\$	2,511

b. The aggregate related fair value of securities with unrealized losses

1. Less than 12 months	\$	4,428,178
2. 12 months or longer	\$	1,164,325

5. All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than-temporary impairment should be recognized. For securities whose present value of cash flows expected to be collected was less than the amortized cost basis of the security at March 31, 2019, an other-than-temporary impairment was recognized. The Company has evaluated its cash flow requirements and believes that its liquidity is adequate and it will not be required to sell other securities before recovery of their cost basis. As of March 31, 2019, the Company can assert that it has the intent and believes that it has the ability to hold these securities long enough to allow the cost basis of these securities to be recovered. The conclusions are supported by a detailed analysis of the underlying credit and cash flows on each security. It is possible that the Company could recognize other-than-temporary impairment in the future on some of the securities held at March 31, 2019, if future events, information and the passage of time cause it to conclude that declines in value are other-than-temporary.

E-I. The Company has no investments in repurchase agreements

J-L. No material changes.

M. The Company has no working capital finance investments.

N. The Company has no offsetting of assets and liabilities

O-R. No Material changes

6. Joint Ventures, Partnerships and Limited Liability Companies

No material change

7. Investment Income

No material change

8. Derivative Instruments

No material change

9. Income Taxes

No material change

10. Information Concerning Parent, Subsidiaries and Affiliates

No material change

11. Debt

The Company has no outstanding debt.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A-D. For purposes of statutory accounting, the Company is not directly liable for a defined benefit plan.

E-I. No material changes

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No material change

14. Contingencies

A-E. No material change

F. All Other Contingencies

Litigation and regulatory matters

The Company is regularly involved in litigation and arbitration, both as a defendant and as a plaintiff. The litigation and arbitration naming the Company as a defendant ordinarily involves the Company's businesses and operations. In certain of these matters, the plaintiffs may be seeking indeterminate amounts, including punitive or exemplary damages.

The Company periodically receives informal and formal requests for information from various state and federal governmental agencies and self-regulatory organizations related to the Company's products and practices. It is the Company's practice to cooperate fully in these matters.

It is not feasible to predict or determine the ultimate outcome of all litigation, arbitration or regulatory proceedings or to provide reasonable ranges of potential losses. It is believed that the outcome of the Company's litigation, arbitration, and regulatory matters are not likely, either individually or in the aggregate, to have a material adverse effect on the financial condition of the Company beyond the amounts already reported in these financial statements. However, given the indeterminate amounts sought in certain of these matters and the inherent unpredictability of litigation, arbitration and regulatory investigations, it is possible that an adverse outcome in certain matters could, from time to time, have a material adverse effect on the results of operations or cash flows in particular quarterly or annual periods.

15. Leases

No material change

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No material change

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company did not have any transfers of receivables reported as sales.
- B. The Company did not have any transfers and servicing of financial assets.
- C. The Company did not have any wash sales.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No change

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No change

20. Fair Value Measurement

A. The tables below provide information about the Company's use of fair value measurements

1) Fair Value Measurements at Reporting Date:

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Separate account assets	\$ 4,758,711	\$ -	\$ -	\$ 4,758,711
Total assets at fair value	\$ 4,758,711	\$ -	\$ -	\$ 4,758,711

- 2) The Company has no Level 3 fair value investments.
- 3) The Company has no transfers into or out of Level 3 fair value investments.
- 4) All of the company's fair value investments are Level 1.

B. The Company has no other fair value disclosures.

C. Below is a listing of the aggregate fair value for all financial instruments and the level within the fair value hierarchy:

Type of Financial Instrument	Aggregate Fair					Not Practicable (Carrying Value)
	Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
Assets						
Bonds	\$ 40,231,887	\$ 39,470,651		\$ 38,231,887	\$ 2,000,000	\$ -
Cash, short-term & cash equiv	\$ 19,593,123	\$ 19,593,123	\$ 19,593,123			
Separate Account Assets	4,758,711	4,758,711	4,758,711	-	-	-
Total Assets	\$ 64,583,721	\$ 63,822,485	\$ 24,351,834	\$ 38,231,887	\$ 2,000,000	\$ -

D. As of March 31, 2019, the Company had no investments where it is not practicable to estimate fair value

21. Other Items

No material change

22. Events Subsequent

The Company evaluated events subsequent to March 31, 2019 and through May 13, 2019, the date of issuance of these financial statements. It has determined there have been no events that have occurred that would require adjustments to our financial statements.

23. Reinsurance

No change

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company did not report any retrospectively rated contracts or contracts subject to redetermination.

25. Change in Incurred Losses and Loss Adjustment Expenses

The Company does not have accident and health contracts.

26. Intercompany Pooling Arrangements

No change

27. Structured Settlements

No change

28. Health Care Receivables

No change

29. Participating Policies

No material change

30. Premium Deficiency Reserves

No material change

31. Reserves for Life Contracts and Deposit-Type Contracts

No material change

32. Analysis of Annuity Reserves and Deposit Liabilities by Withdrawal Characteristics

No material change

33. Premium and Annuity Considerations Deferred and Uncollected

No material change

34. Separate Accounts

No material change

35. Loss/Claim Adjustment Expenses

The Company does not have accident and health policies.

Statement as of March 31, 2019 of the **NASSAU LIFE AND ANNUITY COMPANY**
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

The Nassau Companies of New York is now a wholly-owned direct subsidiary of The Nassau Companies

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period. Yes [] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [] No [X] N/A []

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 03/12/2014

6.4 By what department or departments?
State of Connecticut Insurance Department

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
1851 Securities, Inc.	Hartford, CT	NO	NO	NO	YES
Saybrus Equity Services, LLC	Hartford, CT	NO	NO	NO	YES
Angel Island Capital Services, LLC	San Francisco, CA	NO	NO	NO	YES
Angel Island Capital Management, LLC	San Francisco, CA	NO	NO	NO	YES
Golden Gate Private Equity, Inc.	San Francisco, CA	NO	NO	NO	YES
Nassau CorAmerica, LLC	El Segundo, CA	NO	NO	NO	YES
Green Street Advisors, UK, Limited	London, UK	NO	NO	NO	YES
Green Street Investors, LLC	Newport Beach, CA	NO	NO	NO	YES
Green Street Trading, LLC	Newport Beach, CA	NO	NO	NO	YES
Nassau Corporate Credit LLC	Darien, CT	NO	NO	NO	YES
NCC CLO Manager LLC	Darien, CT	NO	NO	NO	YES
Aperio Group, LLC	Sausalito, CA	NO	NO	NO	YES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

Statement as of March 31, 2019 of the **NASSAU LIFE AND ANNUITY COMPANY**
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended?

Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0

13. Amount of real estate and mortgages held in short-term investments:

\$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2 If yes, please complete the following:

- 14.21 Bonds
- 14.22 Preferred Stock
- 14.23 Common Stock
- 14.24 Short-Term Investments
- 14.25 Mortgage Loans on Real Estate
- 14.26 All Other
- 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)
- 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$	0	\$ 0
	0	0
	0	0
	0	0
	0	0
	0	0
\$	0	\$ 0
\$	0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$ 0

16.3 Total payable for securities lending reported on the liability page:

\$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 New York Plaza, 12th Floor, New York, NY 10004-2413

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Nassau Asset Management Co.	A
Shott Capital Management	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets?

Yes [] No [X]

NASSAU LIFE AND ANNUITY COMPANY

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?

Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
	Nassau Asset Management Co.			DS
CRD# 110084	Shott Capital Management		SEC	NO

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [X] No []

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities?

Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities?

Yes [] No [X]

NASSAU LIFE AND ANNUITY COMPANY GENERAL INTERROGATORIES (continued)

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident and Health Companies/Fraternal Benefit Societies

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:		Amount
1.1 Long-term mortgages in good standing		\$
1.11 Farm mortgages.....		\$
1.12 Residential mortgages.....		\$
1.13 Commercial mortgages.....		\$
1.14 Total mortgages in good standing.....		\$0
1.2 Long-term mortgages in good standing with restructured terms		
1.21 Total mortgages in good standing with restructured terms.....		\$
1.3 Long-term mortgage loans upon which interest is overdue more than three months		
1.31 Farm mortgages.....		\$
1.32 Residential mortgages.....		\$
1.33 Commercial mortgages.....		\$
1.34 Total mortgages with interest overdue more than three months.....		\$0
1.4 Long-term mortgage loans in process of foreclosure		
1.41 Farm mortgages.....		\$
1.42 Residential mortgages.....		\$
1.43 Commercial mortgages.....		\$
1.44 Total mortgages in process of foreclosure.....		\$0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)		\$0
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter		
1.61 Farm mortgages.....		\$
1.62 Residential mortgages.....		\$
1.63 Commercial mortgages.....		\$
1.64 Total mortgages foreclosed and transferred to real estate.....		\$0
2. Operating Percentages:		
2.1 A&H loss percent.....	
2.2 A&H cost containment percent.....	
2.3 A&H expense percent excluding cost containment expenses.....	
3.1 Do you act as a custodian for health savings accounts?.....	Yes []	No [X]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....		\$
3.3 Do you act as an administrator for health savings accounts?.....	Yes []	No [X]
3.4 If yes, please provide the balance of the funds administered as of the reporting date.....		\$
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [X]	No []
4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	Yes []	No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A []
- 5.2 If no, explain:
-

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

NASSAU LIFE AND ANNUITY COMPANY
SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
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NONE

NASSAU LIFE AND ANNUITY COMPANY SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

1	States, Etc.	Active Status (a)	Direct Business Only					
			Life Contracts		4	5	6	7
			2	3				
			Life Insurance Premiums	Annuity Considerations	A&H Insurance Premiums, Including Policy Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1.	Alabama.....	AL L	4,076	-			4,076	
2.	Alaska.....	AK L	1,323	-			1,323	
3.	Arizona.....	AZ L	26,641	50,000			76,641	
4.	Arkansas.....	AR L	16,261	305,373			321,633	
5.	California.....	CA N	5,512	-			5,512	
6.	Colorado.....	CO L	18,057	60,000			78,057	
7.	Connecticut.....	CT L	36,938	526,475			563,413	
8.	Delaware.....	DE L	2,527	1,194,069			1,196,596	
9.	District of Columbia.....	DC L	-	-			0	
10.	Florida.....	FL L	118,966	80,000			198,966	
11.	Georgia.....	GA N	14,866	-			14,866	
12.	Hawaii.....	HI L	1,480	-			1,480	
13.	Idaho.....	ID L	4,055	-			4,055	
14.	Illinois.....	IL L	185,526	549,849			735,375	
15.	Indiana.....	IN L	37,286	31,156			68,442	
16.	Iowa.....	IA L	15,698	659,000			674,698	
17.	Kansas.....	KS L	35,305	-			35,305	
18.	Kentucky.....	KY L	2,836	-			2,836	
19.	Louisiana.....	LA L	33,211	-			33,211	
20.	Maine.....	ME N	646	-			646	
21.	Maryland.....	MD L	22,600	1,016,047			1,038,647	
22.	Massachusetts.....	MA N	1,631	-			1,631	
23.	Michigan.....	MI L	67,677	1,224,364			1,292,041	
24.	Minnesota.....	MN N	2,918	-			2,918	
25.	Mississippi.....	MS L	14,407	150,000			164,407	
26.	Missouri.....	MO L	87,326	117,298			204,624	
27.	Montana.....	MT L	2,764	-			2,764	
28.	Nebraska.....	NE L	10,945	-			10,945	
29.	Nevada.....	NV L	5,318	-			5,318	
30.	New Hampshire.....	NH L	-	-			0	
31.	New Jersey.....	NJ L	11,653	3,093,131			3,104,783	
32.	New Mexico.....	NM L	2,197	-			2,197	
33.	New York.....	NY N	9,798	-			9,798	
34.	North Carolina.....	NC L	8,036	368,607			376,643	
35.	North Dakota.....	ND L	82	-			82	
36.	Ohio.....	OH L	77,488	1,928,895			2,006,384	
37.	Oklahoma.....	OK L	41,137	-			41,137	
38.	Oregon.....	OR L	10,591	-			10,591	
39.	Pennsylvania.....	PA L	64,116	797,402			861,517	
40.	Rhode Island.....	RI L	-	-			0	
41.	South Carolina.....	SC L	49,618	779,587			829,204	
42.	South Dakota.....	SD L	10,185	60,143			70,329	
43.	Tennessee.....	TN L	32,435	210,042			242,478	
44.	Texas.....	TX L	234,192	1,067,582			1,301,773	
45.	Utah.....	UT L	14,820	908,615			923,435	
46.	Vermont.....	VT L	685	643,812			644,496	
47.	Virginia.....	VA L	26,121	427,344			453,465	
48.	Washington.....	WA L	29,378	132,768			162,146	
49.	West Virginia.....	WV L	2,865	-			2,865	
50.	Wisconsin.....	WI L	15,311	272,602			287,913	
51.	Wyoming.....	WY L	-	-			0	
52.	American Samoa.....	AS N	-	-			0	
53.	Guam.....	GU N	-	-			0	
54.	Puerto Rico.....	PR N	-	-			0	
55.	US Virgin Islands.....	VI N	-	-			0	
56.	Northern Mariana Islands.....	MP N	-	-			0	
57.	Canada.....	CAN N	-	-			0	
58.	Aggregate Other Alien.....	OT .XXX.	0	0	0	0	0	0
59.	Subtotal.....	.XXX.	1,417,504	16,654,161	0	0	18,071,665	0
90.	Reporting entity contributions for employee benefit plans.....	.XXX.	-	-	-	-	0	0
91.	Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX.	-	-	-	-	0	0
92.	Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX.	-	-	-	-	0	0
93.	Premium or annuity considerations waived under disability or other contract provisions.....	.XXX.	80	-	-	-	80	0
94.	Aggregate other amounts not allocable by State.....	.XXX.	0	0	0	0	0	0
95.	Totals (Direct Business).....	.XXX.	1,417,584	16,654,161	0	0	18,071,745	0
96.	Plus Reinsurance Assumed.....	.XXX.	-	-	-	-	0	0
97.	Totals (All Business).....	.XXX.	1,417,584	16,654,161	0	0	18,071,745	0
98.	Less Reinsurance Ceded.....	.XXX.	1,551,229	-	-	-	1,551,229	0
99.	Totals (All Business) less Reinsurance Ceded.....	.XXX.	(133,645)	16,654,161	0	0	16,520,516	0

DETAILS OF WRITE-INS

58001.....	.XXX.	-	-	-	-	0	0
58002.....	.XXX.	-	-	-	-	0	0
58003.....	.XXX.	-	-	-	-	0	0
58998. Summary of remaining write-ins for line 58 from overflow page.....	.XXX.	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX.	0	0	0	0	0	0
9401.....	.XXX.	-	-	-	-	0	0
9402.....	.XXX.	-	-	-	-	0	0
9403.....	.XXX.	-	-	-	-	0	0
9498. Summary of remaining write-ins for line 94 from overflow page.....	.XXX.	0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX.	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	45
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0

R - Registered - Non-domiciled RRGs.....	0
Q - Qualified - Qualified or accredited reinsurer.....	0

ation of basis of allocation by states, etc., of premiums and annuity considerations.

N - None of the above - Not allowed to write business in the state.....	12
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The Company utilizes different administrative systems that use Owner's Resident State to allocate all insurance premiums and annuity considerations.

**Schedule Y – Information Concerning Activities of Insurer
Members of a Holding Company Group
Part 1 Organizational Chart**

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
David Dominik (sole member of GGCOF Management, LLC)					
GGCOF Management, LLC	DE		46-4477754	David Dominik	100
Golden Gate Private Equity, Inc.	DE		94-3361271	GGCOF Management, LLC	100
GGC Fund III	CYM				100
Nassau NAMCO Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau NAMCO Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
Nassau Saybrus Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau Saybrus Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
GGCOF Executive Co-Invest, L.P.	CYM			Individuals	Contract
GGCOF Executive Co-Invest Holdings, Ltd.	CYM			Individuals	Contract
NRH, L.P.	CYM		98-1250709	GGCOF Executive Co-Invest Holdings, Ltd.	Contract
Nassau UK Ltd.	GBR		98-1269725	NRH, L.P.	100
Nassau Cayman Ltd.	CYM		N/A	Nassau UK Ltd.	100
Nassau Re (Cayman) Ltd.	CYM		N/A	NRH, L.P.	100
Nassau TRF SPV Ltd.	CYM		N/A	Nassau Re (Cayman) Ltd.	100
Magni Re Ltd.	CYM		N/A	NRH, L.P.	Contract
Nassau Financial Group GP Ltd.	CYM		98-1421610	Individuals	Contract
Nassau Financial Group, L.P.	CYM		98-1421752	Nassau Financial Group GP Ltd.	Contract
Nassau Asset Management LLC	DE		81-0773412	Nassau Financial Group, L.P.	100
Nassau CorAmerica LLC	DE		26-4145792	Nassau Asset Management LLC	100
Nassau CorAmerica Loan Company LLC	DE		26-4145792	Nassau CorAmerica LLC	100
Nassau CorAmerica Advisors LLC	DE		46-3781541	Nassau CorAmerica LLC	100
Nassau Corporate Credit LLC	DE		35-2586068	Nassau Asset Management LLC	100
NCC CLO Manager LLC	DE		N/A	Nassau Corporate Credit LLC	100
NCC Management LLC	DE		82-4813430	Nassau Corporate Credit LLC	100
Nassau Private Credit LLC	DE		83-2636471	Nassau Corporate Credit LLC	100
Nassau Private Credit GP LLC	DE		83-2654128	Nassau Corporate Credit LLC	100
Nassau Private Credit Onshore Fund LP	DE		83-2670988	Nassau Private Credit GP LLC	Contract

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Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Nassau Private Credit Master Fund LP	KY		N/A	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Offshore Fund LP	KY		N/A	Nassau Private Credit GP LLC	Contract
Nassau Alternative Investments LLC	DE		83-2559102	Nassau Asset Management LLC	100
NSRE Saybrus Holdings, LLC	DE		81-3180396	Nassau Financial Group, L.P.	100
Saybrus Partners, LLC	DE		27-0671327	NSRE Saybrus Holdings, LLC	86.2%
Saybrus Management Holding Company Inc.	DE		37-1872368	Management; NSRE Saybrus Holdings, LLC	Contract
Saybrus Holdings, LLC	DE		27-1629423	Saybrus Partners, LLC	100
Saybrus Equity Services, LLC	DE		27-1869321	Saybrus Holdings, LLC	100
Nassau Insurance Group Holdings GP, LLC	DE		47-5299012	Nassau Financial Group GP Ltd.	Contract
Nassau Insurance Group Holdings, L.P.	DE		38-3981572	Nassau Insurance Group Holdings GP, LLC	Contract
The Nassau Companies	DE		47-5128985	Nassau Insurance Group Holdings, L.P.	100
Constitution Life Insurance Company	TX	62359	36-1824600	The Nassau Companies	100
Nassau Life Insurance Company of Kansas	KS	68284	48-0557726	The Nassau Companies	100
Nassau Life and Annuity Company	CT	93734	43-1240953	The Nassau Companies	100
Nassau Co-Invest Fund LLC	DE			The Nassau Companies	100
The Nassau Companies of New York	DE		06-1599088	The Nassau Companies	100
Nassau CLO SPV-I LLC	DE		82-3502154	The Nassau Companies of New York	56
Nassau CLO SPV-II LLC	DE		83-1785900	The Nassau Companies of New York	54.09
Nassau Life Insurance Company	NY	67814	06-0493340	The Nassau Companies of New York	100
PM Holdings, Inc.	CT		06-1065485	Nassau Life Insurance Company	100
Phoenix Founders, Inc.	CT		06-0860167	PM Holdings, Inc.	100
Nassau 2018 CFO Fund LLC	DE		83-2511417	Nassau Life Insurance Company	100
PHL Delaware LLC	DE			Nassau Insurance Group Holdings, L.P.	100
DSM Sands LLC	DE		N/A	PHL Delaware LLC	100
PHL Variable Insurance Company	CT	93548	06-1045829	PHL Delaware LLC	100
PHLVIC CFO SPV LLC	DE		83-2526465	PHL Variable Insurance Company	100
Nassau Reinsurance LLC	DE		47-3724102	Nassau Insurance Group Holdings, L.P.	100
NSRE BD Holdco LLC	DE		83-2726475	Nassau Reinsurance LLC	100
1851 Securities, Inc.	DE		27-1619945	NSRE BD Holdco LLC	100
Nassau Cayman Brac Holding Company	DE		83-1443085	Nassau Insurance Group Holdings, L.P.	100
Nassau Re (Cayman Brac) Ltd.	CYM			Nassau Cayman Brac Holding Company	100
GGC Opportunity Fund Management GP, Ltd.	CYM			GGC Opportunity Fund Management GP, Ltd.	Board
GGC Opportunity Fund Management, L.P.	CYM		98-0546997	GGC Opportunity Fund Management GP, Ltd.	Contract
GGC Opportunity Fund Entities	CYM			GGC Opportunity Fund Management, L.P.	Contract
Financial American Holdings Corporation	DE		27-2822251	GGC Opportunity Fund Entities	87.6

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Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Financial American Life Insurance Company	KS	71455	37-0857191	Financial American Holdings Corporation	100
Financial American Property & Casualty Insurance Company	TX	21075	75-6015738	Financial American Holdings Corporation	100
Financial American Insurance Services, Inc.	FL		37-1484076	Financial American Holdings Corporation	100
Warranty TopCo, Inc.	DE		26-3854933	Golden Gate Fund I and II Entities	41.6
Warranty TopCo, Inc.	DE		26-3854933	GGCOF Entities	42.3
Interstate National Corporation	DE		20-4197367	Warranty TopCo, Inc.	100
DRG, Inc. d/b/a Payment Insured Plan, Inc.	OR		93-1160837	Interstate National Corporation	100
PIPCO Reinsurance Company, Ltd.	TCA		98-0159153	Interstate National Corporation	100
National Warranty Corporation	OR		93-1198148	Interstate National Corporation	100
Interstate National Dealer Services, Inc.	DE		11-3078398	Interstate National Corporation	100
Interstate National Dealer Services of Florida, Inc.	FL		11-3284019	Interstate National Dealer Services, Inc.	100
Interstate Roadside Assistance Corporation	DE		26-0795581	Interstate National Dealer Services, Inc.	100
Warranty Central, LLC	NY		35-2346675	Interstate National Dealer Services, Inc.	100
Interstate Administrative Services, Inc.	DE		20-1549705	Interstate National Dealer Services, Inc.	100
INDS Canada Holdings, Inc.	DE		20-8070016	Interstate National Dealer Services, Inc.	100
Interstate National Dealer Services Canada Corp.	Canada		98-1339130	INDS Canada Holdings, Inc.	100
Warranty Direct, Inc.	DE		11-3272124	Interstate National Dealer Services, Inc.	100
iPay Plan LLC	NY		35-2356677	Interstate National Dealer Services, Inc.	100
Mechanical Breakdown Assistance Company, Inc.	DE		26-3217455	Interstate National Dealer Services, Inc.	100
Lasas Technologies, Inc.	FL		65-0868022	Interstate National Dealer Services, Inc.	100
National Service Contract Insurance Company Risk Retention Group, Inc.	DC	10234	90-0319305	Interstate National Dealer Services, Inc.	100
Interstate National Services, Inc.	DE		81-1620066	Interstate National Dealer Services, Inc.	100
Interstate National Services of Oklahoma, Inc.	DE		81-1494734	Interstate National Dealer Services, Inc.	100
Interstate National Services of Florida, Inc.	FL		81-1509710	Interstate National Dealer Services, Inc.	100
Williston Holdings LLC	DE		27-1434376	GGC Opportunity Fund Entities	96.0
Williston Financial Group LLC	DE		27-1434397	Williston Holdings LLC	100
75 Peachtree LLC	DE		36-4875018	Williston Financial Group LLC	100
81 Van Etten LLC	DE		36-4888418	Williston Financial Group LLC	100
264 Halsey LLC	DE		N/A	Williston Financial Group LLC	100
Valustrust Solutions, LLC	KS		27-3972891	Williston Financial Group LLC	100
WFG Lender Services, LLC	MN		20-5836762	Williston Financial Group LLC	100
WFG Lender Services, LLC	AL		80-0936767	WFG Lender Services, LLC	100
WFG Lender Services, LLC	AR		80-0766075	WFG Lender Services, LLC	100

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
WFG Lender Services, LLC	TX		26-4627021	WFG Lender Services, LLC	100
WFGLS Title Agency of Utah, LLC	UT		20-8801713	WFG Lender Services, LLC	100
WFG National Title Company of Texas, LLC	TX		27-0890944	Williston Financial Group LLC	100
Universal Title Partners, LLC	TX		27-1273851	WFG National Title Company of Texas, LLC	51.0
Universal Title Partners, LLC	TX		27-1273851	Newmark Homes Houston, LLC	49.0
WFG National Title Insurance Company	SC	51152	57-0575396	Williston Financial Group LLC	100
WFG National Title Company of Washington, LLC	WA		26-0522240	WFG National Title Insurance Company	100
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	Kachemak Bay Title Agency, Inc.	90.0
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	WFG National Title Insurance Company	10.0
Williston Enterprise Solutions & Technology, LLC	DE		45-2654474	Williston Financial Group LLC	100
ITC Holdings, Inc.	CA		80-0268853	Williston Financial Group LLC	100
WFG National Title Company of California	CA		95-3621359	ITC Holdings, Inc.	100
WFG National Title Company of Clark County WA, LLC	WA		38-4008723	Williston Financial Group LLC	100

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Members															
4736	GGC Group.....		06-1599088..		1129033		The Nassau Companies of New York.....	DE.....	UDP.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						DSM Sands LLC.....	DE.....	NIA.....	PHL Delaware LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		82-3502154..				Nassau CLO SPV-I LLC.....	DE.....	NIA.....	The Nassau Companies of New York.....	Ownership.....	56.000	David Dominik.....	..N.....	
4736	GGC Group.....	67814..	06-0493340..		1159567		Nassau Life Insurance Company.....	NY.....	IA.....	The Nassau Companies of New York.....	Ownership.....	100.000	David Dominik & GGCOF Management LLC..	..N.....	
4736	GGC Group.....		06-1068485..				PM Holdings, Inc.....	CT.....	DS.....	Nassau Life Insurance Company.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		27-1619945..		1482939		1851 Securities, Inc.....	DE.....	DS.....	NSRE BD Holdco LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		06-0860187..				Phoenix Founders, Inc.....	CT.....	DS.....	PM Holdings, Inc.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....	93548..	06-1045829..		1031223		PHL Variable Insurance Company.....	CT.....	IA.....	PHL Delaware LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....	93734..	43-1240953..		13118221		Nassau Life and Annuity Company.....	CT.....	RE.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						GGC Opportunity Fund Management GP, Ltd..	CYM.....	UIP.....	GGC Opportunity Fund Management GP, Ltd.	Board of Directors			..N.....	
4736	GGC Group.....		98-0546997..				GGC Opportunity Fund Management, L.P.....	CYM.....	UIP.....	GGC Opportunity Fund Management, GP, Ltd.	Contract.....		GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....						GGC Opportunity Fund Entities	CYM.....	UIP.....	GGC Opportunity Fund Management, L.P.....	Contract.....		GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		27-2822251..				Financial American Holdings Corporation	DE.....	NIA.....	GGC Opportunity Fund Entities.....	Ownership.....	87.600	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....	71455..	37-0857191..				Financial American Life Insurance Company.....	KS.....	IA.....	Financial American Holdings Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....	21075..	75-6015738..				Financial American Property and Casualty Insurance Company	TX.....	IA.....	Financial American Holdings Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		37-1484076..				Financial American Insurance Services, Inc.....	FL.....	NIA.....	Financial American Holdings Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		26-3854933..				Warranty TopCo, Inc.....	DE.....	NIA.....	Golden Gate Fund I and II Entities	Ownership.....	41.600	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		26-3854933..				Warranty TopCo, Inc.....	DE.....	NIA.....	GGCOF Entities	Ownership.....	42.300	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		20-4197367..				Interstate National Corporation.....	DE.....	NIA.....	Warranty TopCo, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		11-3078398..				Interstate National Dealer Services, Inc.....	DE.....	NIA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		11-3284019..				Interstate National Dealer Services of Florida, Inc.	FL.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		26-0795581..				Interstate Roadside Assistance Corporation.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		35-2346675..				Warranty Central, LLC.....	NY.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		20-1549705..				Interstate Administrative Services, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		20-8070016..				INDS Canada Holdings, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		98-1339130..				Interstate National Dealer Services Canada Corp.	CAN.....	NIA.....	INDS Canada Holdings, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		11-3272124..				Warranty Direct, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		35-2356677..				iPay Plan, LLC.....	NY.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		26-3217455..				Mechanical Breakdown Assistance Company, Inc.	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	
4736	GGC Group.....		65-0868022..				Lasas Technologies, Inc.....	FL.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.	..N.....	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group.....	10234..	99-0319305..				National Service Contract Insurance Company Risk Retention Group, Inc.	DC.....	IA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		81-1620066..				Interstate National Services, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		81-1494734..				Interstate National Services of Oklahoma, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		81-1509710..				Interstate National Services of Florida, Inc.....	FL.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		93-1160837..				DRG, Inc. d/b/a Payment Insured Plan, Inc.....	OR.....	NIA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		98-0159153..				PIPCO Reinsurance Company, Ltd.....	TCA.....	IA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		93-1198148..				National Warranty Corporation.....	OR.....	NIA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		27-1434376..				Williston Holdings LLC.....	DE.....	NIA.....	GGC Opportunity Fund Entities.....	Ownership.....	96.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		27-1434397..				Williston Financial Group LLC.....	DE.....	NIA.....	Williston Holdings LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		36-4875018..				75 Peachtree LLC.....	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		36-4888418..				81 Van Etten LLC.....	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		N/A.....				264 Halsey LLC.....	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		27-3972891..				Valustrust Solutions, LLC.....	KS.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		20-5836762..				WFG Lender Services, LLC.....	MN.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		80-0936767..				WFG Lender Services, LLC.....	AL.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		80-0766075..				WFG Lender Services, LLC.....	AR.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		26-4627021..				WFG Lender Services, LLC.....	TX.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		20-8801713..				WFGLS Title Agency of Utah, LLC.....	UT.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		27-0890944..				WFG National Title Company of Texas, LLC.....	TX.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		27-1273851..				Universal Title Partners, LLC.....	TX.....	NIA.....	WFG National Title Company of Texas, LLC ..	Ownership.....	51.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		27-1273851..				Universal Title Partners, LLC.....	TX.....	NIA.....	Newmark Homes Houston, LLC ..	Ownership.....	49.000	Unknown (not affiliated with the GGC Group).N.....	
4736	GGC Group.....	51152..	57-0575396..				WFG National Title Insurance Company.....	SC.....	IA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		26-0522240..				WFG National Title Company of Washington, LLC	WA.....	NIA.....	WFG National Title Insurance Company.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		47-2160319..				Homer Recording District Joint Title Plant Company LLC	AK.....	NIA.....	WFG National Title Insurance Company	Ownership.....	10.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		47-2160319..				Homer Recording District Joint Title Plant Company LLC	AK.....	NIA.....	Kachemak Bay Title Agency, Inc.	Ownership.....	90.000	Unknown (not affiliated with the GGC Group).N.....	
4736	GGC Group.....		45-2654474..				Williston Enterprise Solutions & Technology, LLC	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		80-0268853..				ITC Holdings, Inc.....	CA.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		95-3621359..				WFG National Title Company of California	CA.....	NIA.....	ITC Holdings, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		38-4008723..				WFG National Title Company of Clark County WA, LLC	WA.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....	
4736	GGC Group.....		46-4477754..				GGCOF Management, LLC.....	DE.....	NIA.....	David Dominik.....	Ownership.....	100.000	David Dominik.....N.....	
4736	GGC Group.....		94-3361271..				Golden Gate Private Equity, Inc.....	DE.....	NIA.....	GGCOF Management, LLC.....	Ownership.....	100.000	David Dominik.....N.....	

Q13.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group.....		N/A.....				GGC Fund III	CYM.....	NIA.....		Ownership.....	100.000		..N.....	
4736	GGC Group.....		98-1250709..				NRH, L.P.....	CYM.....	NIA.....	GGCOF Executive Co-Invest Holdings, Ltd.....	Contract.....	100.000		..N.....	
4736	GGC Group.....		98-1269725..				Nassau UK Ltd.....	GBR.....	NIA.....	NRH, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		N/A.....				Nassau Cayman Ltd.....	CYM.....	NIA.....	Nassau UK Ltd.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		N/A.....				Nassau Re (Cayman) Ltd.....	CYM.....	NIA.....	NRH, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		N/A.....				Magni Re Ltd.....	CYM.....	NIA.....	NRH, L.P.....	Contract.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		47-5299012..				Nassau Insurance Group Holdings GP, LLC.....	DE.....	NIA.....	Nassau Financial Group, GP Ltd.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		38-3981572..				Nassau Insurance Group Holdings, L.P.....	DE.....	UIP.....	Nassau Insurance Group Holdings GP, LLC.....	Contract.....		David Dominik.....	..N.....	
4736	GGC Group.....		47-5128985..				The Nassau Companies.....	DE.....	NIA.....	Nassau Insurance Group Holdings, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....	62359..	36-1824600..				Constitution Life Insurance Company.....	TX.....	IA.....	The Nassau Companies.....	Ownership.....	100.000	Golden Gate Private Equity, Inc.....	..N.....	
4736	GGC Group.....	68284..	48-0557726..				Nassau Life Insurance Company of Kansas	KS.....	IA.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		81-3180396..				NSRE Saybrus Holdings, LLC.....	DE.....	NIA.....	Nassau Financial Group, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		27-0671327..				Saybrus Partners, LLC.....	DE.....	NIA.....	NSRE Saybrus Holdings, LLC.....	Ownership.....	0.862	David Dominik.....	..N.....	
4736	GGC Group.....		37-1872368..				Saybrus Management Holding Company Inc.....	DE.....	NIA.....	Management, NSRE Saybrus Holdings, LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		27-1629423..				Saybrus Holdings, LLC.....	DE.....	NIA.....	Saybrus Partners, LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		27-1869321..				Saybrus Equity Services, LLC.....	DE.....	NIA.....	Saybrus Holdings, LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		47-3724102..				Nassau Reinsurance LLC.....	DE.....	NIA.....	Nassau Insurance Group Holdings, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		83-2726475..				NSRE BD Holdco LLC.....	DE.....	NIA.....	Nassau Reinsurance LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		81-0773412..				Nassau Asset Management LLC.....	DE.....	NIA.....	Nassau Financial Group, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		26-4145792..				Nassau CorAmerica LLC.....	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		26-4145792..				Nassau CorAmerica Loan Company LLC.....	DE.....	NIA.....	Nassau CorAmerica LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		46-3781541..				Nassau CorAmerica Advisors LLC.....	DE.....	NIA.....	Nassau CorAmerica LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		35-2586068..				Nassau Corporate Credit LLC.....	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		N/A.....				NCC CLO Manager LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		82-4813430..				NCC Management LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		83-1443085..				Nassau Cayman Brac Holding Company.....	DE.....	NIA.....	Nassau Insurance Group Holdings, LP.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau Re (Cayman Brac) Ltd.....	CYM.....	NIA.....	Nassau Cayman Brac Holding Company.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau TRF SPV Ltd.....	CYM.....	NIA.....	Nassau Re (Cayman) Ltd.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau Namco Blocker LLC.....	CYM.....	NIA.....	Golden Gate Capital Opportunity Fund-A, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau Namco Splitter, L.P.....	CYM.....	NIA.....	GGC Opportunity Fund Management, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau Saybrus Blocker LLC.....	CYM.....	NIA.....	Golden Gate Capital Opportunity Fund-A, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau Saybrus Splitter, L.P.....	CYM.....	NIA.....	GGC Opportunity Fund Management, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		98-1421610..				Nassau Financial Group GP Ltd.....	CYM.....	NIA.....	Individuals.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		98-1421752..				Nassau Financial Group, L.P.....	CYM.....	NIA.....	Nassau Financial Group GP Ltd.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						Nassau Co-Invest Fund LLC.....	DE.....	NIA.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						PHL Delaware LLC.....	DE.....	NIA.....	Nassau Insurance Group Holdings, L.P.....	Ownership.....	100.000	David Dominik.....	..N.....	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group.....		83-2559102..				Nassau Alternative Investments LLC	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....100.000	David Dominik.....N.....	
4736	GGC Group.....		83-2511417..				Nassau 2018 CFO Fund LLC.....	DE.....	NIA.....	Nassau Life Insurance Company.....	Ownership.....100.000	David Dominik.....N.....	
4736	GGC Group.....		83-2526465..				PHLVIC CFO SPV LLC.....	DE.....	NIA.....	PHL Variable Insurance Company.....	Ownership.....100.000	David Dominik.....N.....	
4736	GGC Group.....		83-2636471..				Nassau Private Credit LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....100.000	David Dominik.....N.....	
4736	GGC Group.....		83-2654128..				Nassau Private Credit GP LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....100.000	David Dominik.....N.....	
4736	GGC Group.....		83-2670988..				Nassau Private Credit Onshore Fund LP.....	DE.....	NIA.....	Nassau Private Credit GP LLC.....	Contract.....100.000	David Dominik.....N.....	
4736	GGC Group.....		N/A.....				Nassau Private Credit Master Fund LP.....	KY.....	NIA.....	Nassau Private Credit GP LLC.....	Contract.....100.000	David Dominik.....N.....	
4736	GGC Group.....		N/A.....				Nassau Private Credit Offshore Fund LP.....	KY.....	NIA.....	Nassau Private Credit GP LLC.....	Contract.....100.000	David Dominik.....N.....	
4736	GGC Group.....		83-1785900..				Nassau CLO SPV-II LLC.....	DE.....	NIA.....	The Nassau Companies of New York.....	Contract.....54.090	David Dominik.....N.....	

Aster Explanation

1	Employees of The Nassau Companies of New York. and its affiliates own 44.00%.
2	The GGC Opportunity Fund Entities are comprised of: (i) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund-A, L.P. (#98-0546999); (iii) GGCOF Co-Invest, L.P (#98-0572954); (iv) GGCOF Third Party Co-Invest, L.P. (#98-0572955); (v) GGCOF Executive Co-Invest, L.P. (#98-1033052); and (vi) GGCOF IRA Co-Invest, L.P. (#98-1033053).
3	Three individuals own 12.37%. No one individual owns more than 10%.
4	The Golden Gate Fund I and II Entities are comprised of: (i) Golden Gate Capital Investment Fund II, L.P., (ii) Golden Gate Capital Investment Fund II (AI), L.P., (iii) Golden Gate Capital Associates II-QP, LLC, (iv) Golden Gate Capital Associates II-AI, LLC, (v) CCG AV, LLC - Series C, (vi) CCG AV, LLC - Series G, (vii) CCG AV, LLC - Series I (Bain).
5	The GGCOF Entities own 42.3%. Members of management and individuals who sold their business to Warranty Topco, Inc. own the remaining 16.1%. None of these individuals own more than 10%.
6	The GGCOF Entities are comprised of (i) Golden Gate Capital Opportunity Fund, L.P., (ii) Golden Gate Capital Opportunity Fund-A, L.P., (iii) GGCOF Co-Invest, L.P. and (iv) GGCOF Third-Party Co-Invest, L.P.
7	The Golden Gate Fund I and II Entities own 41.6%. Members of management and individuals who sold their business to Warranty Topco, Inc. own the remaining 16.1%. None of these individuals own more than 10%.
8	Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.
9	Newmark Homes Houston, LLC (listed above) owns the remaining 49% of Universal Title Partners, LLC.
10	WFG National Title Company of Texas, LLC (listed above) owns the remaining 51% of Universal Title Partners, LLC.
11	Kachemak Bay Title Agency, Inc. (listed above) owns the remaining 90% of Homer Recording District Joint Title Plant Company LLC.
12	WFG National Title Insurance Company (listed above) owns the remaining 10% of Homer Recording District Joint Title Plant Company LLC.
13	"GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.
14	Percentage represents the aggregate ownership among the GGC Fund III entities.
15	Non-voting shares of Nassau Re (Cayman) Ltd. are held by Nassau Cayman Ltd.
16	Name changed from "The Pyramid Life Insurance Company" on March 11, 2019.
17	Name changed from "Nassau CFO Manager LLC" on March 13, 2019.
18	Employees of The Nassau Companies of New York. and its affiliates own 45.91%.

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NASSAU LIFE AND ANNUITY COMPANY SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	N/A

Explanations:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.
8. Not Applicable for 1st and 3rd Quarters

Bar Code:



NONE

Statement as of March 31, 2019 of the **NASSAU LIFE AND ANNUITY COMPANY**
SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	18,055,266	17,321,973
2. Cost of bonds and stocks acquired.....	22,006,986	9,077,630
3. Accrual of discount.....	8,704	40,366
4. Unrealized valuation increase (decrease).....	(4)	
5. Total gain (loss) on disposals.....	24	51,965
6. Deduct consideration for bonds and stocks disposed of.....	591,623	8,389,416
7. Deduct amortization of premium.....	8,702	47,252
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	39,470,651	18,055,266
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	39,470,651	18,055,266

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	12,120,400	11,054,696	90,082	(920,698)	22,164,316			12,120,400
2. NAIC 2 (a).....	5,367,417	10,952,290	500,461	922,839	16,742,085			5,367,417
3. NAIC 3 (a).....	65,350		1,056	(537)	63,757			65,350
4. NAIC 4 (a).....	502,100			(1,607)	500,493			502,100
5. NAIC 5 (a).....					0			
6. NAIC 6 (a).....					0			
7. Total Bonds.....	18,055,267	22,006,986	591,599	(3)	39,470,651	0	0	18,055,267
PREFERRED STOCK								
8. NAIC 1.....					0			
9. NAIC 2.....					0			
10. NAIC 3.....					0			
11. NAIC 4.....					0			
12. NAIC 5.....					0			
13. NAIC 6.....					0			
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	18,055,267	22,006,986	591,599	(3)	39,470,651	0	0	18,055,267

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1
NONE**

**Sch. DA - Verification
NONE**

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

**Sch. E - Pt. 2 Verification
NONE**

**Sch. A Pt. 2
NONE**

**Sch. A Pt. 3
NONE**

**Sch. B - Pt. 2
NONE**

**Sch. B - Pt. 3
NONE**

**Sch. BA - Pt. 2
NONE**

**Sch. BA - Pt. 3
NONE**

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
Bonds - Industrial and Miscellaneous									
035240	AR 1 ANHEUSER-BUSCH INBEV WOR 4.900% 01/23/.....		01/16/2019.....	FEDERAL RESERVE BANK OF BOSTON.....		1,006,350	1,000,000		2FE.....
17401Q	AU 5 CITIZENS BANK NA/RI SERIES BKNT 3.750%.....		02/12/2019.....	Various.....		999,683	1,000,000		2FE.....
200340	AT 4 COMERICA INC 4.000% 02/01/29.....		01/28/2019.....	Morgan Stanley.....		996,250	1,000,000		1FE.....
378272	AV 0 GLENCORE FUNDING LLC SERIES 144A 4.875.....		03/05/2019.....	JP Morgan Securities.....		996,710	1,000,000		2FE.....
445658	CF 2 JB HUNT TRANSPRT SVCS 3.875% 03/01/26.....		02/26/2019.....	Sun Trust.....		999,940	1,000,000		2FE.....
46647P	BA 3 JPMORGAN CHASE & CO 3.960% 01/29/27.....		01/22/2019.....	JP Morgan Securities.....		1,000,000	1,000,000		1FE.....
512807	AU 2 LAM RESEARCH CORP 4.000% 03/15/29.....		02/25/2019.....	Goldman Sachs.....		449,186	450,000		2FE.....
53117C	AT 9 LIBERTY PROPERTY LP 4.375% 02/01/29.....		01/22/2019.....	Citigroup Global Markets.....		269,303	270,000		2FE.....
609207	AR 6 MONDELEZ INTERNATIONAL 3.625% 02/13/26.....		02/11/2019.....	Deutsche Bank.....		497,310	500,000		2FE.....
709599	BE 3 PENSKE TRUCK LEASING PTL SERIES 144A 4.....		01/22/2019.....	JP Morgan Securities.....		997,320	1,000,000		2FE.....
90931C	AA 6 UNITED AIR 2019 1 AA PTT SERIES AA EETC.....		01/28/2019.....	Citigroup Global Markets.....		1,000,000	1,000,000		1FE.....
95001W	BG 2 WELLS FARGO COMMERCIAL MORTGAG SERIES 20.....		02/21/2019.....	Wells Fargo.....		999,926	1,000,000	541	1FE.....
963320	AW 6 WHIRLPOOL CORP 4.750% 02/26/29.....		02/20/2019.....	JP Morgan Securities.....		578,039	585,000		2FE.....
68327L	AC 0 CADILLAC FAIRVIEW PRP TR SERIES 144A 4.....	A.....	01/28/2019.....	Goldman Sachs.....		674,069	675,000		1FE.....
00085C	AG 6 ABPCI DIRECT LENDING FUND CLO SERIES 201.....	D.....	02/11/2019.....	Natixis.....		1,000,000	1,000,000		1FE.....
03835V	AG 1 APTIV PLC 4.350% 03/15/29.....	D.....	02/28/2019.....	Barclays Capital Inc.....		164,800	165,000		2FE.....
05683V	AN 4 BAIN CAPITAL CREDIT CLO LIMITE SERIES 20.....	D.....	03/11/2019.....	Jefferies.....		1,000,000	1,000,000		2FE.....
09628V	AS 3 BLUEMOUNTAIN CLO LTD SERIES 2016-3A CLAS.....	D.....	03/19/2019.....	Citigroup.....		1,377,600	1,400,000	6,457	1FE.....
10901A	AC 0 BRIGADE DEBT FUNDING I LTD SERIES 2018-2.....	D.....	03/13/2019.....	GREENALEGE CAPITAL MARKETS LL.....		1,000,500	1,000,000	23,479	1FE.....
14316B	AJ 4 CARLYLE GLOBAL MARKET STRATEGI SERIES 20.....	D.....	02/21/2019.....	Citigroup.....		1,000,000	1,000,000		2FE.....
48252U	AE 7 KKR FINANCIAL CLO LTD SERIES 2025 CLASS.....	D.....	03/28/2019.....	GREENALEGE CAPITAL MARKETS LL.....		1,000,000	1,000,000		1FE.....
67592F	AJ 8 OCTAGON INVESTMENT PARTNER SERIES 2019-2.....	D.....	03/08/2019.....	JP Morgan Securities.....		1,000,000	1,000,000		2FE.....
92332L	AE 9 VENTURE CDO LTD SERIES 2019-36A CLASS C.....	D.....	03/26/2019.....	BNP PARIBUS SECURITIES.....		1,000,000	1,000,000		1FE.....
G4588#	BR 1 INTERMEDIATE CAPITAL GROUP PLC SERIES B.....	D.....	03/26/2019.....	Citigroup.....		1,000,000	1,000,000		2FE.....
G6363#	AG 2 NORDIC AVIATION CAPITAL 29 DAC 5.580%.....	D.....	03/14/2019.....	Citigroup.....		1,000,000	1,000,000		2PL.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					22,006,986	22,045,000	30,477	XXX.....
8399997	Total - Bonds - Part 3.....					22,006,986	22,045,000	30,477	XXX.....
8399999	Total - Bonds.....					22,006,986	22,045,000	30,477	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					22,006,986	XXX	30,477	XXX.....

QE04

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22												
										11	12	13	14	15																			
CUSIP Identification	Description	For rei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)												
Bonds - U.S. Special Revenue and Special Assessment																																	
3132GR	6V 8		FHLMC POOL Q06884	3.500% 03/01/42..	03/01/2019	Paydown		34,713	34,713	35,710	35,710		(997)	(997)	34,713			0	222	03/01/2042	1												
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments																	34,713	34,713	35,710	35,710	0	(997)	0	(997)	0	34,713	0	0	0	222	XXX	XXX
Bonds - Industrial and Miscellaneous																																	
12668B	ZM 8		COUNTRYWIDE ALTERNATIVE LOAN SERIES 2006		03/01/2019	Paydown		1,056	1,056	834	816		241	241	1,056			0	13	07/25/2042	3FM												
152314	EL 0		CENTEX HOME EQUITY 2002-A AF60 5.540%		02/01/2019	Paydown		182	182	180	181		2	2	182			0	2	01/25/2032	1FM												
201736	AB 1		COMMERCIAL MORTGAGE LEASE SERIES 2001-CM		03/01/2019	Paydown		26,034	26,034	28,980	26,148		(113)	(113)	26,034			0	316	06/20/2031	1FM												
38061L	AA 7		GOLD KEY RESORTS LLC SERIES 2014-A CLASS		03/15/2019	Paydown		14,292	14,292	14,289	14,288		4	4	14,292			0	75	03/17/2031	1FE												
42217K	AY 2		WELLTOWER INC 4.125% 04/01/19		03/18/2019	Call	100.0000	500,000	500,000	498,470	499,884		93	93	499,976		24	24	9,568	04/01/2019	2FE												
46627M	AF 4		JP MORGAN ALTERNATIVE LOAN TR SERIES 200		03/01/2019	Paydown		2,083	942	732	625		1,458	1,458	2,083			0	30	11/25/2051	1FM												
46627M	AF 4		JP MORGAN ALTERNATIVE LOAN TR SERIES 200		01/01/2019	Paydown		485	574	446	381		104	104	485			0	3	11/25/2051	2FM												
759676	AF 6		RENAISSANCE HOME EQUITY 2006-2 AF3 5.7		03/01/2019	Paydown		1,006	1,006	610	516		491	491	1,006			0	7	08/25/2036	1FM												
94984D	AD 6		WELLS FARGO MORTGAGE SERIES 2006-AR13 CL		03/01/2019	Paydown		11,772	11,772	8,353	8,919		2,854	2,854	11,772			0	87	09/25/2036	1FM												
3899999	Total - Bonds - Industrial and Miscellaneous																	556,910	555,858	552,894	551,758	0	5,134	0	5,134	0	556,886	0	24	24	10,101	XXX	XXX
8399997	Total - Bonds - Part 4																	591,623	590,571	588,604	587,468	0	4,137	0	4,137	0	591,599	0	24	24	10,323	XXX	XXX
8399999	Total - Bonds																	591,623	590,571	588,604	587,468	0	4,137	0	4,137	0	591,599	0	24	24	10,323	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks																	591,623	XXX	588,604	587,468	0	4,137	0	4,137	0	591,599	0	24	24	10,323	XXX	XXX

QE05

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:0.

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

NASSAU LIFE AND ANNUITY COMPANY SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
JPMorgan-Chase Bank..... New York, NY.....					12,679,216	12,492,447	19,403,720	XXX
US Bank..... Cincinnati, OH.....					152,773	(16,668)	189,403	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	12,831,990	12,475,779	19,593,123	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	12,831,990	12,475,779	19,593,123	XXX
0599999. Total Cash.....	XXX	XXX	0	0	12,831,990	12,475,779	19,593,123	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
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NONE

QE13